

Analogs of quantum matrix groups from finite measurement resolution?

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Abstract

The notion of quantum group replaces ordinary matrices with matrices with non-commutative elements. In TGD framework I have proposed that the notion should relate to the inclusions of von Neumann algebras allowing to describe mathematically the notion of finite measurement resolution.

In this article I will consider the notion of quantum matrix inspired by the recent view about quantum TGD relying on the notion of finite measurement resolution. Complex matrix elements are replaced with operators expressible as products of non-negative hermitian operators and unitary operators analogous to the products of modulus and phase as a representation for complex numbers.

The condition that determinant and sub-determinants exist is crucial for the well-definedness of eigenvalue problem in the generalized sense. Strong/weak permutation symmetry of determinant requires its invariance under permutations of rows and/or columns. Weak permutation symmetry means development of determinant with respect to a fixed row or column and does not pose additional conditions. For weak permutation symmetry the permutation of rows/columns would however have a natural interpretation as braiding for the hermitian operators defined by the moduli of operator valued matrix elements and here quantum group structure emerges. The commutativity of all sub-determinants is essential for the replacement of eigenvalues with eigenvalue spectra of hermitian operators and sub-determinants define mutually commuting set of operators.

Quantum matrices define a more general structure than quantum group but provide a concrete representation and interpretation for quantum group in terms of finite measurement resolution, in particular when q is a root of unity. One can also understand the fractal structure of inclusion sequences of hyper-finite factors resulting by replacing operators appearing as matrix elements with quantum matrices.

1 Introduction

The notion of quantum group [?]replaces ordinary matrices with matrices with non-commutative elements. This notion is physically very interesting, and in TGD framework I have proposed that

it should relate to the inclusions of von Neumann algebras allowing to describe mathematically the notion of finite measurement resolution [?] These ideas have developed slowly through various side tracks.

In the sequel I will consider the notion of quantum matrix inspired by the recent view about quantum TGD relying on the notion of finite measurement resolution and show that under some additional conditions it provides a concrete representation and physical interpretation of quantum groups in terms of finite measurement resolution.

1. The basic idea is to replace complex matrix elements with operators, which are products of non-negative hermitian operators and unitary operators analogous to the products of modulus and phase as a representation for complex numbers. Modulus and phase would be non-commuting and have commutation relation analogous to that between momentum and plane-wave in accordance with the idea about quantization of complex numbers.
2. The condition that determinant and sub-determinants exist is crucial for the well-definedness of eigenvalue problem in the generalized sense. Strong/weak permutation symmetry of determinant requires its invariance apart from sign change under permutations of rows and/or columns. Weak permutation symmetry means development of determinant with respect to a fixed row or column and does not pose additional conditions. For weak permutation symmetry the permutation of rows/columns would however have a natural interpretation as braiding for the hermitian operators defined by the moduli of operator valued matrix elements and here quantum group structure emerges.
3. The commutativity of all sub-determinants is essential for the replacement of eigenvalues with eigenvalue spectra of hermitian operators and sub-determinants define mutually commuting set of operators.

Quantum matrices define a more general structure than quantum group but provide a concrete representation for them in terms of finite measurement resolution, in particular when q is a root of unity. For $q = \pm 1$ (Bose-Einstein or Fermi-Dirac statistics) one obtains quantum matrices for which the determinant is apart from possible change by a sign factor invariant under the permutations of both rows and columns. One can also understand the recursive fractal structure of inclusion sequences of hyper-finite factors resulting by replacing operators appearing as matrix elements with quantum matrices and a concrete connection with quantum groups emerges.

In Zero Energy Ontology (ZEO) M-matrix serving as the basic building brick of unitary U-matrix and identified as a hermitian square root of density matrix provides a possible application for this vision. Especially fascinating is the possibility of hierarchies of measurement resolutions represented as inclusion sequences realized as recursive construction of M-matrices. Quantization would emerge already at the level of complex numbers appearing as M-matrix elements.

This approach might allow to unify various ideas behind TGD. For instance, Yangian algebras emerging naturally in twistor approach are examples of quantum algebras. The hierarchy of Planck constants should have close relationship with inclusions and fractal hierarchy of sub-algebras of super-symplectic and other conformal algebras.

2 Well-definedness of the eigenvalue problem as a constraint to quantum matrices

Intuition suggests that the presence of degrees of freedom below measurement resolution implies that one must use density matrix description obtained by taking trace over the unobserved degrees of freedom. One could argue that in state function reduction with finite measurement resolution the outcome is not a pure state, or not even negentropically entangled state (possible in TGD framework) but a state described by a density matrix. The challenge is to describe the situation mathematically in an elegant manner.

1. There is present an infinite number of degrees of freedom below measurement resolution with which measured degrees of freedom entangle so that their presence affects the situation. One has a system with finite number degrees of freedom such as two-state system described by a

quantum spinor. In this case observables as hermitian operators described by 2×2 matrices would be replaced by quantum matrices with elements, which in general do not commute.

An attractive generalization of complex numbers appearing as elements of matrices is obtained by replacing them with products $H_{ij} = h_{ij}u_{ij}$ of hermitian operators h_{ij} with non-negative spectrum (modulus of complex number) and unitary operators u_{ij} (phase of complex number) suggests itself. The commutativity of h_{ij} and u_{ij} would look nice but is not necessary and is in conflict with the idea that modulus and phase of an amplitudes do not commute in quantum mechanics.

Very probably this generalization is trivial for mathematician. One could indeed interpret the generalization in terms of a tensor product of finite-dimensional matrices with possibly infinite-dimensional space of operators of Hilbert space. For the physicist the situation might be different as the following proposal for what hermitian quantum matrices could be suggests.

2. The modulus of complex number is replaced with a hermitian operator having non-negative eigenvalues. The representation as $h = AA^\dagger + A^\dagger A$ would guarantee this. The phase of complex number would be replaced by a unitary operator U possibly allowing the representation $U = \exp(iT)$, T hermitian. The commutativity condition

$$[h_{ij}, u_{ij}] = 0 \quad (2.1)$$

for a given matrix element is also suggestive but as already noticed, Uncertainty Principle suggests that modulus and phase do not commute as operators. The commutator of modulus and phase would naturally be equal to that between momentum operator and plane wave:

$$[h_{ij}, u_{ij}] = i\hbar \times u_{ij} , \quad (2.2)$$

Here $\hbar = h/2\pi$ can be chosen to be unity in standard quantum theory. In TGD it can be generalized to a hermitian operator H_{eff}/h with an integer valued spectrum of eigenvalues given by $h_{eff}/h = n$ so that ordinary and dark matter sectors would be unified to single structure mathematically.

3. The notions of eigenvalues and eigenvectors for a hermitian operator should generalize. Now hermitian operator H would be a matrix with formally the same structure as $N \times N$ hermitian matrix in commutative number field - say complex numbers - possibly satisfying additional conditions.

Hermitian matrix can be written as

$$H_{ij} = h_{ij}u_{ij} \quad \text{for } i > j \quad H_{ij} = u_{ij}h_{ij} \quad \text{for } i < j , \quad H_{ii} = h_i . \quad (2.3)$$

Hermiticity conditions $H_{ij} = H_{ji}^\dagger$ give

$$h_{ij} = h_{ji} , \quad u_{ij} = u_{ji}^\dagger . \quad (2.4)$$

Here it has been assumed that one has quantum SU(2). For quantum U(2) one would have $U_{11} = U_{22}^\dagger = h_a u_a$ with u_a commuting with other operators. The form of the conditions is same as for ordinary hermitian matrices and it is not necessary to assume commutativity $[h_{ij}, u_{ij}] = 0$. Generalization of Pauli spin matrices provides a simple illustration.

4. The well-definedness of eigenvalue problem gives a strong constraint on the notion of hermitian quantum matrix. Eigenvalues of hermitian operator are determined by the vanishing of determinant $\det(H - \lambda I)$. Its expression involves sub-determinants and one must decide

whether to demand that the definition of determinant is independent of which column or row one chooses to develop the determinant.

For ordinary matrix the determinant is expressible as sum of symmetric functions:

$$\det(H - \lambda I) = \sum \lambda^n S_n(H) . \quad (2.5)$$

Elementary symmetric functions S_n - n -functions in following - have the property that they are sums of contributions from to n -element paths along the matrix with the property that path contains no vertical or horizontal steps. One has a discrete analog of path integral in which time increases in each step by unit. The analogy with fermionic path integral is also obvious. In the non-commutative case non-commutativity poses problems since different orderings of rows (or columns) along the same n -path give different results.

- (a) For the first option one gives up the condition that determinant can be developed with respect to any row or column and defines determinant by developing it with respect to say first row or first column. If one developing with respect to the column (row) the permutations of rows (columns) do not affect the value of determinant or sub-determinants but permutations of columns (rows) do so unless one poses additional conditions stating that the permutations do not affect given contribution to the determinant or sub-determinant. It turns out that this option must be applied in the case of ordinary quantum group. For quantum phase $q = \pm 1$ the determinant is invariant under permutations of both rows and columns.
- (b) Second manner to get rid of difficulty would be that n -path does not depend on the ordering of the rows (columns) differ only by the usual sign factor. For 2×2 case this would give

$$ad - bc = da - cb , \quad (\text{Option 2}) \quad (2.6)$$

These conditions state the invariance of the n -path under permutation group S_n permuting rows or columns.

- (c) For the third option the elements along n -paths commute: paths could be said to be “classical”. The invariance of N -path in this sense guarantees the invariance of all n -paths. In 2-D case this gives

$$[a, d] = 0 , \quad [b, c] = 0 . \quad (\text{Option 3}) \quad (2.7)$$

5. One should have a well-defined eigenvalue problem. If the n -functions commute, one can diagonalize the corresponding operators simultaneously and the eigenvalues problem reduces to possibly infinite number of ordinary eigenvalue problems corresponding to restrictions to given set of eigenvalues associated with $N - 1$ symmetric functions. This gives an additional constraint on quantum matrices.

In 2-dimensional case one would have the condition

$$[ad - bc, a + d] = 0 . \quad (2.8)$$

Depending on how strong S_2 invariance one requires, one obtains 0, 1, 2 nontrivial conditions for 2×2 quantum matrices and 1 condition from the commutativity of n -functions besides hermiticity conditions.

For $N \times N$ -matrices one would have $N! - 1$ non-trivial conditions from the strong form of permutation invariance guaranteeing the permutation symmetry of n -functions and $N(N - 1)/2$ conditions from the commutativity of n -functions.

6. The eigenvectors of the density matrix are obtained in the usual manner for each eigenvalue contributing to quantum eigenvalue. Also the diagonalization can be carried out by a unitary transformation for each eigenvalue separately. Hence the standard approach seems to generalize almost trivially.

What makes the proposal non-trivial and possibly physically interesting is that the hermitian operators are not assumed to be just tensor products of $N \times N$ hermitian matrices with hermitian operators in Hilbert space.

The notion of unitary quantum matrix should also make sense. The naive guess is that the exponentiation of a linear combination of ordinary hermitian matrices with coefficients, which are hermitian matrices gives quantum unitary matrices. In the case of U(1) the replacement of exponentiation parameter t in $\exp(itX)$ with a hermitian operator gives standard expression for the exponent and it is trivial to see that unitary conditions are satisfied also in this case. Also in the case of SU(2) it is easy to verify that the guess is correct. One must also check that one indeed obtains a group: it could also happen that only semi-group is obtained.

In any case, one could speak of quantum matrix groups with coordinates replaced by hermitian matrices. These quantum matrix group need not be identical with quantum groups in the standard sense of the word. Maybe this could provide one possible meaning for quantization in the case of groups and perhaps also in the case of coset spaces G/H .

3 The relationship to quantum groups and and quantum Lie algebras

It is interesting to find out whether quantum matrices give rise to quantum groups under suitable additional conditions. The child's guess for these conditions is that the permutation of rows and columns correspond to braiding for the hermitian moduli h_{ij} defined by unitary operators U_{ij} .

3.1 Quantum groups and quantum matrices

The conditions for hermiticity and unitary do not involve quantum parameter q , which suggests that the naive generalization of the notion of unitary matrix gives unitary group obtained by replacing complex number field with operator algebra gives group with coordinates defined by hermitian operators rather than standard quantum group. This turns out to be the case and it seems that quantum matrices provide a concrete representation for quantum group. The notion of braiding as that for operators h_{ij} can be said to emerge from the notion of quantum matrix.

1. Exponential of quantum hermitian matrix is excellent candidate for quantum unitary matrix. One should check the exponentiation indeed gives rise to a quantum unitary matrix. For $q = \pm 1$ this seems obvious but one should check this separately for other roots of unity. Instead of considering the general case, we consider explicit ansatz for unitary U(2) quantum matrix as $U = [a, b; -b^\dagger, a^\dagger]$. The conditions for unitary quantum group in the proposed sense would state the orthonormality and unit norm property of rows/columns.

The explicit form of the conditions reads as

$$\begin{aligned} ab - ba &= 0 \quad , \quad ab^\dagger = b^\dagger a \quad , \\ aa^\dagger + bb^\dagger &= 1 \quad , \quad a^\dagger a + b^\dagger b = 1 \quad . \end{aligned} \tag{3.1}$$

The orthogonality conditions are unique and reduce to the vanishing of commutators.

Normalization conditions involve a choice of ordering. One possible manner to avoid the problem is to assume that both orderings give same unit length for row or column (as done above). If only the other option is assumed then only third or fourth equations is needed. The invariance of determinant under permutation of rows would imply $[a, a^\dagger] = [b, b^\dagger] = 0$ and the ordering problem would disappear.

2. One can look what conditions the explicit representation $U_{ij} = h_{ij}u_{ij}$ or equivalently $[h_a u_a, h_b u_b; -u_b^\dagger h_b, u_a^\dagger h_a]$ gives. The intuitive expectation is that $U(2)$ matrix decomposes to a product of commutating $SU(2)$ matrix and $U(1)$ matrices. This implies that u_a commutes with the other matrices involved. One obtains the conditions

$$h_a h_b = h_b (u_b h_a u_b^\dagger) , \quad h_b h_a = (u_b h_a u_b^\dagger) h_b . \quad (3.2)$$

These conditions state that the permutation of h_a and h_b analogous to braiding operation is a unitary operation.

For the purposes of comparison consider now the corresponding conditions for $SU(2)_q$ matrix.

1. The $SU(2)_q$ matrix $[a, b; b^\dagger, a^\dagger]$ with *real* value of q (see https://en.wikipedia.org/wiki/Quantum_group) satisfies the conditions

$$\begin{aligned} ba &= qab , & b^\dagger a &= qab^\dagger , & bb^\dagger &= b^\dagger b , \\ a^\dagger a + q^2 b^\dagger b &= 1 , & aa^\dagger + bb^\dagger &= 1 . \end{aligned} \quad (3.3)$$

This gives $[a^\dagger, a] = (1 - q^2)b^\dagger b$. The above conditions would correspond to $q = \pm 1$ but with complex numbers replaced with operator algebra. q -commutativity obviously replaces ordinary commutativity in the conditions and one can speak of q -orthonormality.

For complex values of q - in particular roots of unity - the condition $a^\dagger a + q^2 b^\dagger b = 1$ is in general not self-consistent since hermitian conjugation transforms q^2 to its complex conjugate. Hence this condition must be dropped for complex roots of unity.

2. Only for $q = \pm 1$ corresponding to Bose-Einstein and Fermi-Dirac statistics the conditions are consistent with the invariance of n -functions (determinant) under permutations of both rows and columns. Indeed, if 2×2 q -determinant is developed with respect to column, the permutation of rows does not affect its value. This is trivially true also in $N \times N$ dimensional case since the permutation of rows does not affect the n -paths at all.

If the symmetry under permutations is weakened, nothing prevents from posing quantum orthogonality conditions also now and the decomposition to a product of positive and hermitian matrices give a concrete meaning to the notion of quantum group.

Do various n -functions commute with each other for $SU(2)_q$? The only commutator of this kind is that for the trace and determinant and should vanish:

$$[b + b^\dagger, aa^\dagger + bb^\dagger] = 0 . \quad (3.4)$$

Since $a^\dagger a$ and aa^\dagger are linear combinations of $b^\dagger b = b^\dagger b$, they vanish. Hence it seems that TGD based view about quantum groups is consistent with the standard view.

3. One can look these conditions in TGD framework by restricting the consideration to the case of $SU(2)$ ($u_a = 1$) and using the ansatz $U = [h_a, h_b u_b; -u_b^\dagger h_b, h_a]$. Orthogonality conditions read as

$$h_a h_b = q h_b (u_b h_a u_b^\dagger) , \quad h_b h_a = q (u_b h_a u_b^\dagger) h_b .$$

If q is root of unity, these conditions state that the permutation of h_a and h_b analogous to a unitary braiding operation apart from a multiplication with quantum phase q . For $q = \pm 1$ the sign-factor is that in standard statistics. Braiding picture could help guess the commutators of h_{ij} in the case of $N \times N$ quantum matrices. The permutations of rows and columns would have interpretation as braidings and one could say that braided commutators of matrix elements vanish.

The conditions from the normalization give

$$h_a^2 + h_b^2 = 1 \ , \quad h_a^2 + q^2(u_b^\dagger h_b^2 u_b) = 1 \ . \quad (3.5)$$

For complex q the latter condition does not make sense since $h_a^2 - 1$ and $u_b^\dagger h_b^2 u_b$ are hermitian matrices with real eigenvalues. Also for real values of $q \neq \pm 1$ one obtains contradiction since the spectra of unitarily related hermitian operators would differ by scaling factor q^2 . Hence one must give up the condition involving q^2 unless one has $q = \pm 1$. Note that the term proportional to q^2 does not allow interpretation in terms of braiding.

4. Roots of unity are natural number theoretically as values of q but number theoretical universality allows the generic value of q would be a complex number existing simultaneously in all p-adic number properly extended. This would suggest the spectrum of q to come as

$$q(m, n) = e^{1/m} \exp\left(\frac{12\pi i}{n}\right) \ . \quad (3.6)$$

The motivation comes from the fact that e^p is ordinary p-adic number for all p-adic number fields so e and also any root of e defines a finite-dimensional extension of p-adic numbers [K1] [L1]. The roots of unity would be associated to the discretization of the ordinary angles in case of compact matrix groups. Roots of e would be associated with the discretization of hyperbolic angles needed in the case of non-compact matrix groups such as $\mathrm{SL}(2, \mathbb{C})$.

Also now unification of various values of q to single single operator Q , which is product of *commuting* hermitian and unitary operators and commuting with the hermitian operator H representing the spectrum of Planck constant would code the spectrum. Skeptic can of course wonder, whether the modulus and phase of Q can be assumed to commute. The relationship between integers associated with H and Q is interesting.

3.2 Quantum Lie algebras and quantum matrices

What about quantum Lie algebras? There are many notions of quantum Lie algebra and quantum group. General formulas for the commutation relations are well-known for Drinfeld-Jimbo type quantum groups (see https://en.wikipedia.org/wiki/Quantum_group). The simplest guess is that one just poses the defining conditions for quantum group, replaces complex numbers as coefficient module with operator algebra, and poses the above described conditions making possible to speak about eigenvalues and eigen vectors. One might however hope that this representation allows to realize the non-commutativity of matrix elements of quantum Lie algebra in a concrete manner.

1. For $\mathrm{SU}(2)$ the commutation relations for the elements X_+, X_-, h read as

$$[h, X_\pm] = \pm X_\pm \ , \quad [X_+, X_-] = h \ . \quad (3.7)$$

Here one can use the 2×2 matrix representations for the ladder operators X^\pm and diagonal angular momentum generator h .

2. For $\mathrm{SU}(2)_q$ one has

$$[h, X_\pm] = \pm X_\pm \ , \quad [X_+, X_-] = \frac{q^h - q^{-h}}{q - q^{-1}} \ . \quad (3.8)$$

3. Using the ansatz for the generators but allowing hermitian operator coefficients in non-diagonal generators X_\pm , one obtains the condition

For $\mathrm{SU}(2)_q$ one would have

$$[X_+, X_-] = h_+^2 = h_-^2 = \frac{q^h - q^{-h}}{q - q^{-1}} . \quad (3.9)$$

Clearly, the proposal might make possible to have concrete representations for the quantum Lie algebras making the decomposition to measurable and directly non-measurable degrees of freedom explicit.

The conclusion is that finite measurement resolution does not lead automatically to standard quantum groups although the proposed realization is consistent with them. Also the quantum phases $q = \pm 1$ $n = 1, 2$ are realized and correspond to strong permutation symmetry and Bose-Einstein and Fermi statistics.

4 About possible applications

The realization for the notion of finite measurement resolution is certainly the basic application but one can imagine also other applications where hermitian and unitary matrices appear.

4.1 Density matrix description of degrees of freedom below measurement resolution

Density matrix ρ obtained by tracing over non-observable degrees of freedom is a fundamental example about a hermitian matrix satisfying the additional condition $Tr(\rho) = 1$.

1. A state function reduction with a finite measurement resolution would lead to a non-pure state. This state would be describable using $N \times N$ -dimensional quantum hermitian quantum density matrix satisfying the condition $Tr(\rho) = 1$ (or more generally $Tr_q(\rho) = 1$), and satisfying the additional conditions allowing to reduce its diagonalization to that for a collection of ordinary density matrices so that the eigenvalues of ordinary density matrix would be replaced by N quantum eigenvalues defined by infinite-dimensional diagonalized density matrices.
2. One would have N quantum eigenvalues - quantum probabilities - each decomposing to possibly infinite set of ordinary probabilities assignable to the degrees of freedom below measurement resolution and defining density matrix for non-pure states resulting in state function reduction.

4.2 Some questions

Some further questions pop up naturally.

1. One might hope that the quantum counterparts of hermitian operators are in some sense universal, at least in TGD framework (by quantum criticality). Could the condition that the commutator of hermitian generators is proportional to $i\hbar$ times hermitian generator pose additional constraints? In 2-D case this condition is satisfied for quantum SU(2) generators and very probably the same is true also in the general case. The possible problems result from the non-commutativity but $(XY)^\dagger = Y^\dagger X^\dagger$ identity takes care that there are no problems.
2. One can also raise physics related questions. What one can say about most general quantum Hamiltonians and their energy spectra, say quantum hydrogen atom? What about quantum angular momentum? If the proposed construction is only a concretization of abstract quantum group construction, then nothing new is expected at the level of representations of quantum groups.
3. Could the spectrum of h_{eff} define a quantum h as a hermitian positive definite operator? Could this allow a description for the presence of dark matter, which is not directly observable?

4. M-matrices are basic building bricks of scattering amplitudes in ZEO. M-matrix is produce of hermitian "complex" square root H of density matrix satisfying $H^2 = \rho$ and unitary S-matrix S . It has been proposed that these matrices commute. The previous consideration relying on basic quantum thinking suggests that they relate like translation generator in radial direction and phase defined by angle and thus satisfy $[H, S] = i(H_{eff}/\hbar) \times S$. This would give enormously powerful additional condition to S-matrix. One can also ask whether M-matrices in presence of degrees of freedom below measurement resolution is quantum version of M-matrix in the proposed sense.
5. Fractality is key notion of TGD and characterizes also hyperfinite factors. I have proposed some realization of fractality such as infinite primes and finite-dimensional Hilbert spaces take the role of natural numbers and ordinary sum and product are replaced by direct sum and tensor product. One could also imagine a fractal hierarchy of quantum matrices obtained by replacing the operators appearing as matrix elements of quantum matrix element by quantum matrices. This hierarchy could relate to the sequence of inclusions of HFFs.

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